

Update frühes Mammakarzinom

# HER2-positiv: Im Spannungsfeld von Eskalation und Deeskalation

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UNIVERSITÄTSKLINIK FÜR  
INNERE MEDIZIN III

MIT HÄMATOLOGIE, INTERNISTISCHER ONKOLOGIE,  
HÄMOSTASEOLOGIE, INFEKTIOLOGIE, RHEUMATOLOGIE  
UND ONKOLOGISCHES ZENTRUM

# Disclosures

**Employment:** none

**Leadership:** none

**Stock and Other Ownership Interests:** none

**Honoraria:** Amgen, Daiichi Sankyo, Eli Lilly, Gilead, MSD, Novartis, Pfizer, Roche, Seagen

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**Speakers' Bureau:** none

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**Patents, Royalties, Other Intellectual Property:** none

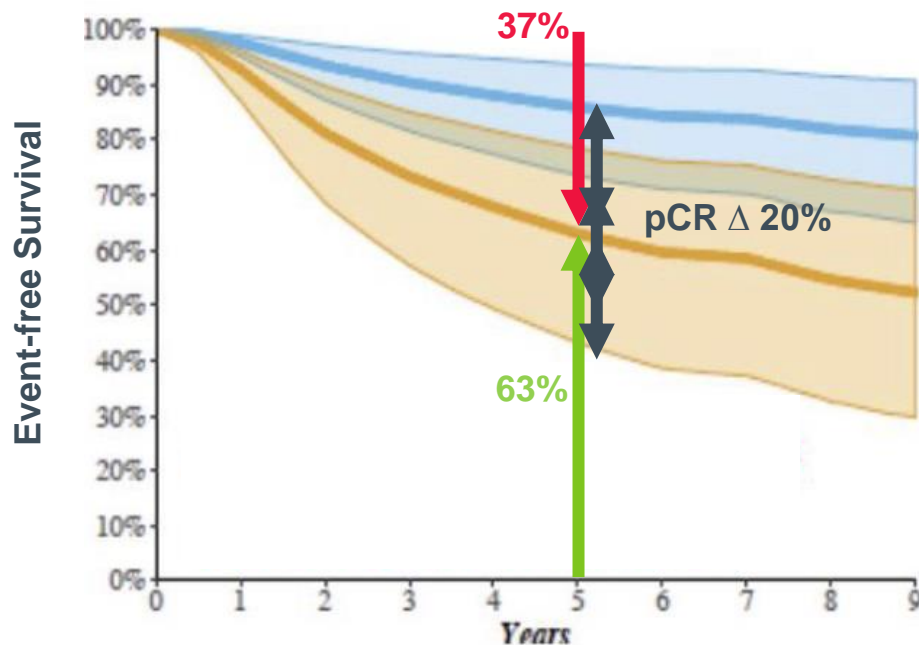
**Expert Testimony:** none

**Travel, Accommodations, Expenses:** Amgen, Daiichi Sankyo, Eli Lilly, Gilead, Merk, Pfizer, Roche

**Other Relationship:** none



# Korrelation pCR und Langzeitüberleben bei HER2+ Patientinnen



**Blue:** pCR group  
**Orange:** Residual disease (RD) group

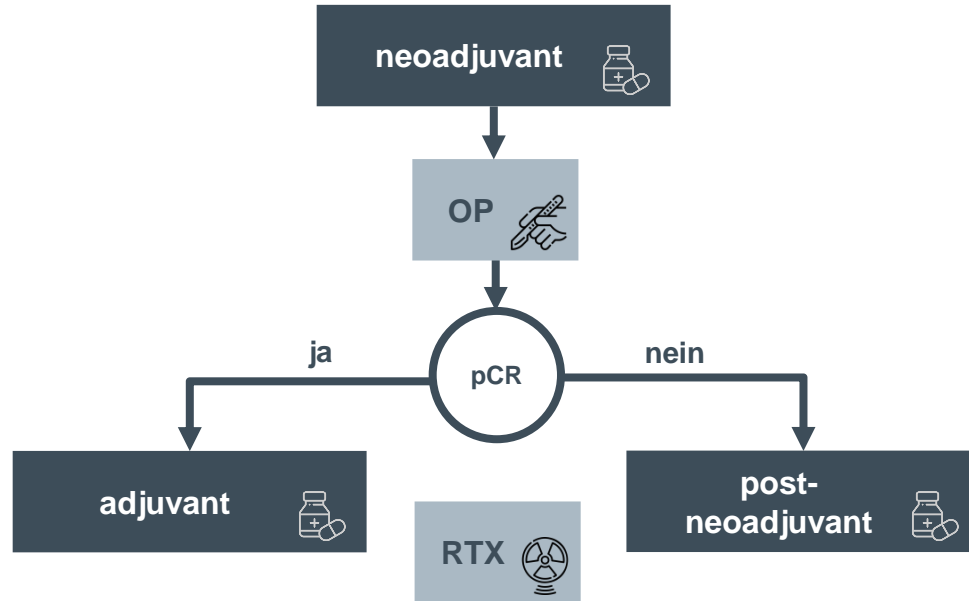
5-year EFS  
pCR vs residual disease:  
**86% vs 63%**

Patient-level analysis of over overall  
27,000 patients

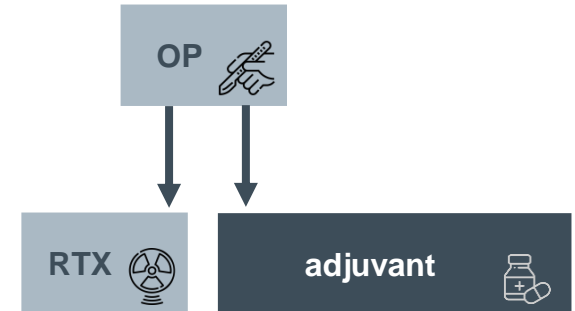
# Konzepte der Systemtherapie

# Konzepte der Systemtherapie bei frühen HER+ Mammakarzinomen

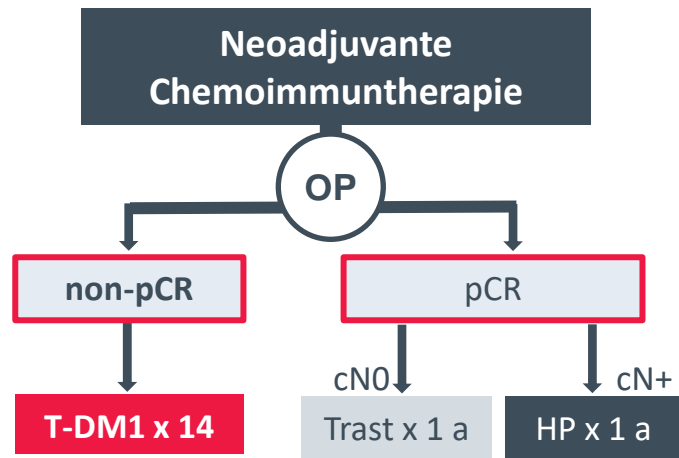
## Neoadjuvante Therapie



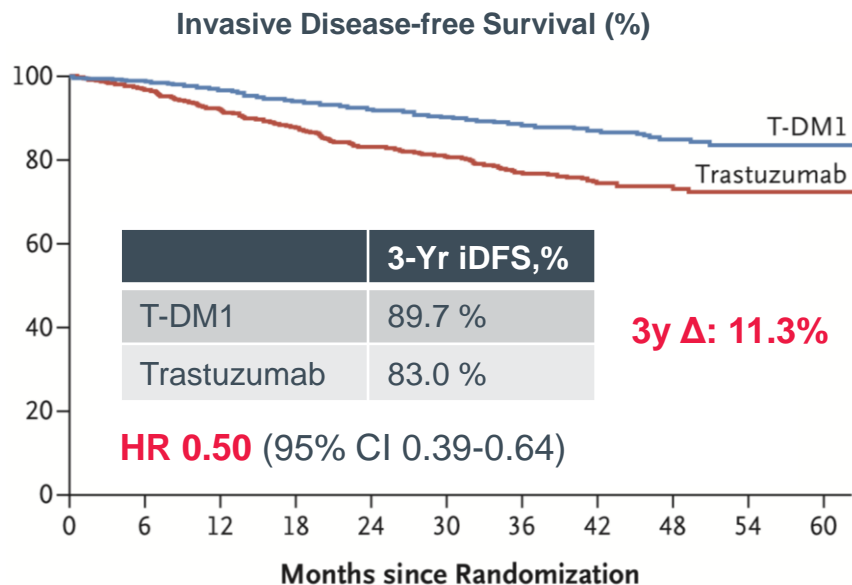
## Primäre Operation



# Response Adaptierte Therapie – HER2+



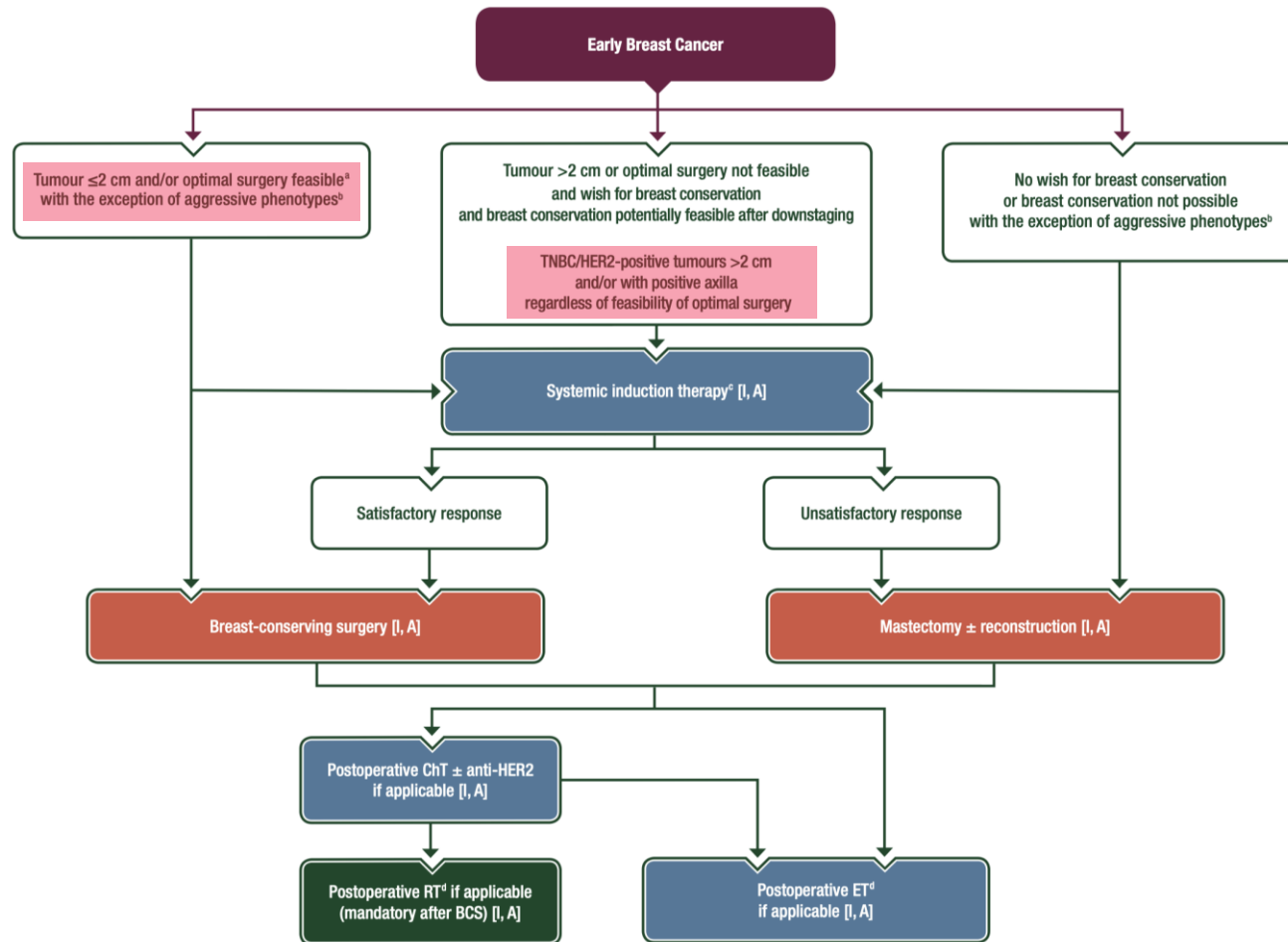
**KATHERINE Studie:**  
**adjuvant T-DM1 vs Trastuzumab bei non-pCR**



G. von Minckwitz et al. NEJM 2019 (PMID: 30516102)

# ESMO – Early breast cancer treatment algorithm

HER2-positive cancers should be treated **with ChT plus antiHER2 therapy, with the possible exception of selected cases with very low risk, such as T1aN0 tumours** [I, A].



F. Cardoso et al.  
Ann Oncol 2019 (PMID: 31161190)

# ASCO Guideline - Neoadjuvant Chemotherapy, Endocrine Therapy, and Targeted Therapy for Breast Cancer

## Recommendation 5.1

- Patients with **node-positive or high-risk node-negative, HER2-positive disease** should be offered neoadjuvant therapy with an **anthracycline and taxane or non-anthracycline-based regimen** in combination with trastuzumab. Pertuzumab may be used with trastuzumab in the neoadjuvant setting.

Evidence-based benefits outweigh harms	
Evidence Quality	Strength of Recommendation
High	Strong

## Recommendation 5.2

- Patients with **T1a N0 and T1b N0, HER2-positive disease** should **not be routinely offered neoadjuvant chemotherapy** or anti-HER2 agents outside of a clinical trial.

Informal consensus	
Evidence Quality	Strength of Recommendation
Intermediate	Moderate

L. A. Korde et al. J Clin Oncol 2021 (PMID: 33507815)



# Neoadjuvante zielgerichtete Therapie bei HER2-positiven Tumoren

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sowie  
in der DKG e.V.

Guidelines Breast  
Version 2023.1D

www.ago-online.de

FORSCHEN  
LEHREN  
HEILEN

	Oxford		
	LoE	GR	AGO
■ <b>Pertuzumab + Trastuzumab in Kombination mit Chemotherapie (high-risk bei cT2-4 und / oder cN+)</b>	<b>2b</b>	<b>B</b>	<b>++</b>
■ <b>Trastuzumab in Kombination mit Standard-Kombinations-Chemotherapie (low-risk)*</b>	<b>1b</b>	<b>A</b>	<b>+</b>
■ <b>HER2 gerichtete Substanzen ohne Chemotherapie</b>	<b>2b</b>	<b>B</b>	<b>+/-</b>

\* **Trastuzumab + Monochemotherapie bevorzugt in der adjuvanten Therapie einzusetzen**

## Standardtherapien (?)

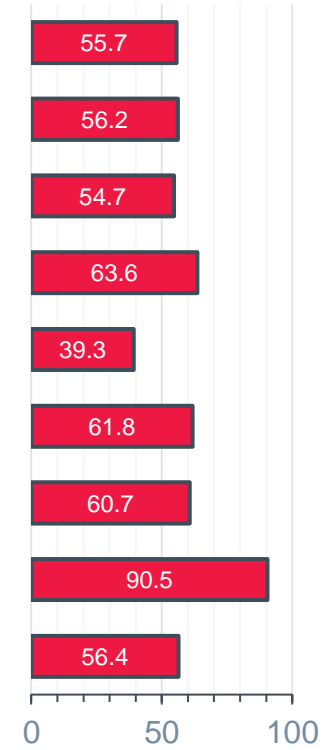
Welches neoadjuvante Chemotherapieprotokoll in Kombination mit Trastuzumab und Pertuzumab?



# Neoadjuvante Chemotherapiestudien mit Pertuzumab

Trial name	Primary Endpoint	Treatment	pCR rate (ypT0/is, ypN0)	Comments
<b>KRISTINE</b> randomized phase 3	pCR	TCH+P×6	<b>55.7%</b> (N= 123/221)	Clinical state IIA–IIIA: 83%, HR+ 62.4%
<b>TRYPHAENA</b> randomized phase 2	Cardiac safety	Arm A: FEC + H + P ×3 → D+H+P×3	<b>56.2%</b> (N=41/73)	HR+ 53.4%, N+: 71.3%
		Arm B: FEC ×3 → D+H+P×3	<b>54.7%</b> (N=41/75)	HR+ 46.7%, N+: 60.0%
		Arm C: TCH+P×6	<b>63.6%</b> (N=49/77)	HR+ 51.9%, N+: 63.6%
<b>NeoSphere</b> randomized phase 2	pCR in breast	Group B: Docetaxel+T+P×4	<b>39.3%</b> (N=42/107)	HR+ 47%, N+: 71%
<b>BERENICE</b> Non-randomized phase 2	Cardiac safety	Cohort A: ddAC×4 → Pacli weekly ×12 + PH	<b>61.8%</b> (N=123/199)	HR+ 64.3%, N+: 59.8%
		Cohort B: FEC ×4 → D+H+P×4	<b>60.7%</b> (N=122/201)	HR+ 61.7%, N+ 63.2%
<b>WSG-ADAPT HER2+/HR-</b> randomized phase 2	pCR	T + P + 12x Paclitaxel weekly + PH	<b>90.5%</b> (N=38/42) (95% CI 77.4% to 97.3%)	HR+ 0% N+ 38.1%
<b>WSG-TP-2 HER2+/HR+</b> randomized phase 2	pCR	T + P + 12x Paclitaxel weekly + PH	<b>56.4%</b> (N=38/42) (95% CI, 46.2%-66.3%)	HR+ 100% N+ 28%

pCR rates [%]



Hurvitz SA et al, Lancet Oncol 2018;19(1):115–26. Schneeweiss A et al, Ann Oncol 2013;24(9):2278–84. Gianni L et al, Lancet Oncol 2012;13(1):25–32. Swain et al, Ann Oncol 2018; 29(3):646-53. Nitz UA et al, Ann Oncol 2017;28(11):2768-72; O. Gluz et al. JAMA Oncol 2023 (PMID: 37166817)

# Anthrazyklin oder Anthrazyklin-frei?

Trial name	Primary Endpoint	Treatment	pCR rate (ypT0/is, ypN0)	Comments	pCR rates [%]
<b>KRISTINE</b> randomized phase 3	pCR	TCH+P×6	<b>55.7%</b> (N= 123/221)	Clinical state IIA–IIIA: 83%, HR+ 62.4%	55.7
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<b>NeoSphere</b> randomized phase 2	pCR in breast	Group B: Docetaxel+T+P×4	<b>39.3%</b> (N=42/107)	HR+ 47%, N+: 71%	39.3
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		Cohort B: FEC ×4 → D+H+P×4	<b>60.7%</b> (N=122/201)	HR+ 61.7%, N+ 63.2%	60.7
<b>WSG-ADAPT HER2+/HR-</b> randomized phase 2	pCR	T + P + 12x Paclitaxel weekly + PH	<b>90.5%</b> (N=38/42) (95% CI 77.4% to 97.3%)	HR+ 0% N+ 38.1%	90.5
<b>WSG-TP-2 HER2+/HR+</b> randomized phase 2	pCR	T + P + 12x Paclitaxel weekly + PH	<b>56.4%</b> (N=38/42) (95% CI, 46.2%-66.3%)	HR+ 100% N+ 28%	56.4

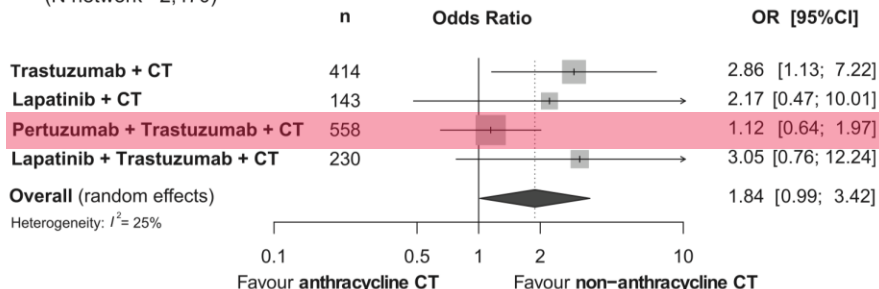


Hurvitz SA et al, Lancet Oncol 2018;19(1):115–26. Schneeweiss A et al, Ann Oncol 2013;24(9):2278–84. Gianni L et al, Lancet Oncol 2012;13(1):25–32. Swain et al, Ann Oncol 2018; 29(3):646-53. Nitz UA et al, Ann Oncol 2017;28(11):2768-72; O. Gluz et al. JAMA Oncol 2023 (PMID: 37166817)

# Welche neoadjuvante Therapie – Anthrazyklin notwendig? Network Metanalysis

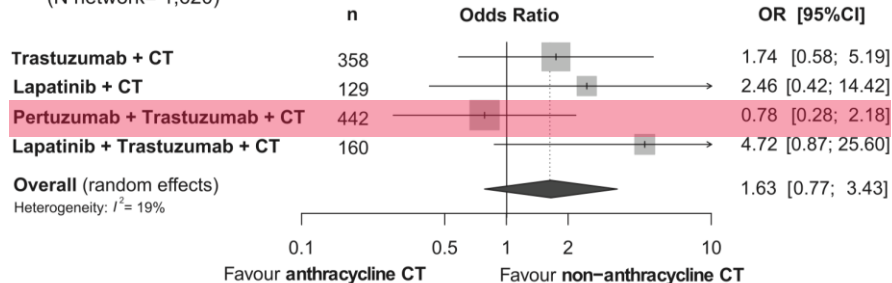
## B. Pathologic complete response (pCR) in HER2+ **hormone-receptor positive population**

(N network= 2,470)

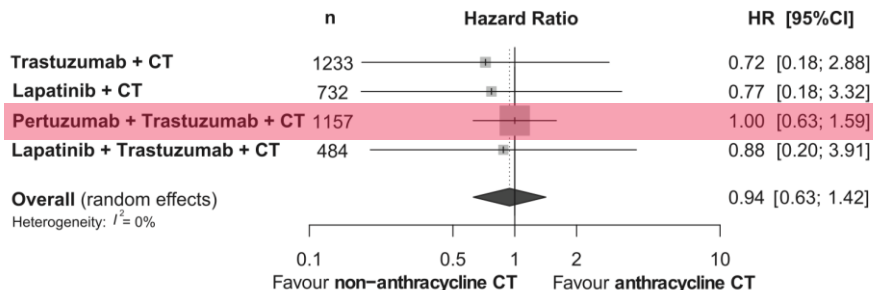


## C. Pathologic complete response (pCR) in HER2+ **hormone-receptor negative population**

(N network= 1,620)

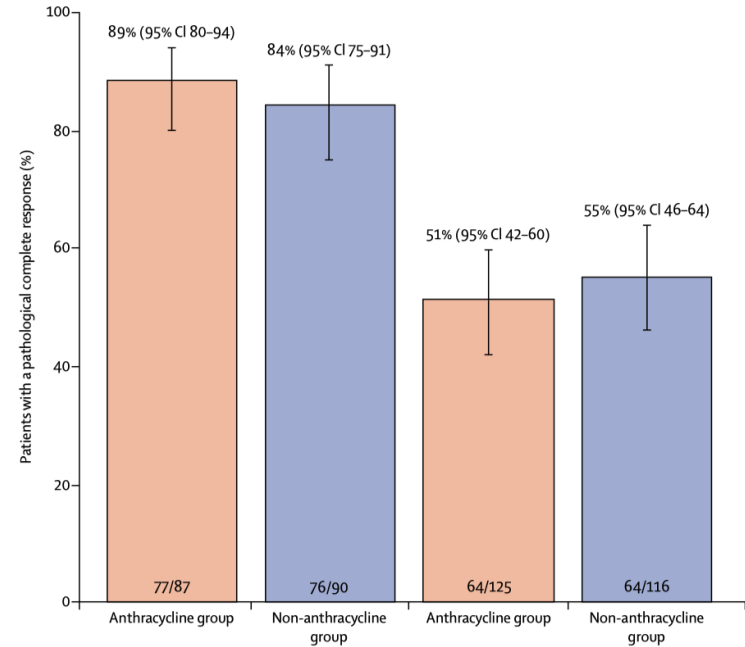
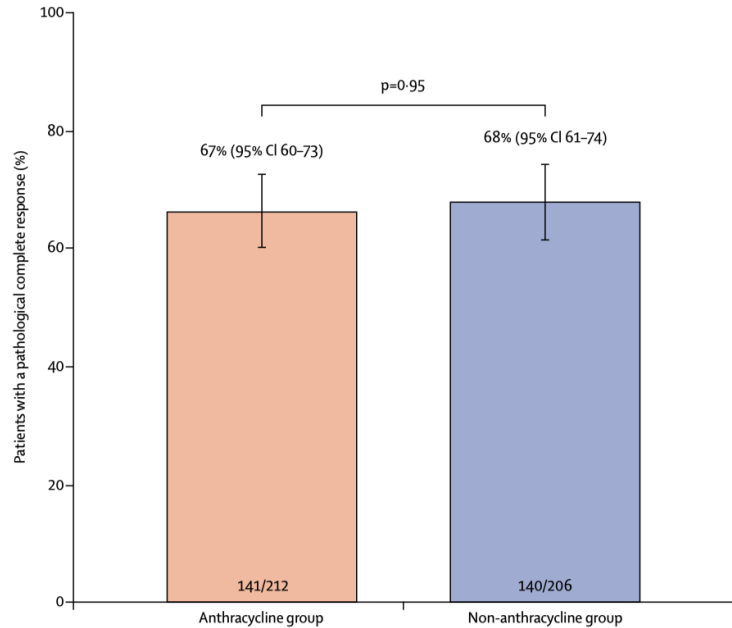


## D. Event-free survival (EFS) in HER2+ population (N network= 4,919)



G. Villacampa et al. Eur J Cancer 2023 (PMID: 37142539)

# TRAIN-2 – randomisierte Phase 3 Studie **Anthrazyklin vs Anthrazyklin frei** 9 Zyklen Schema: FEC x3 → TCb + HP (x6) vs TCb + HP (x9)



**HR- / HER2+**

**HR+ / HER2+**

M. S. van Ramshorst et al. Lancet Oncol 2018 (PMID: 30413379)

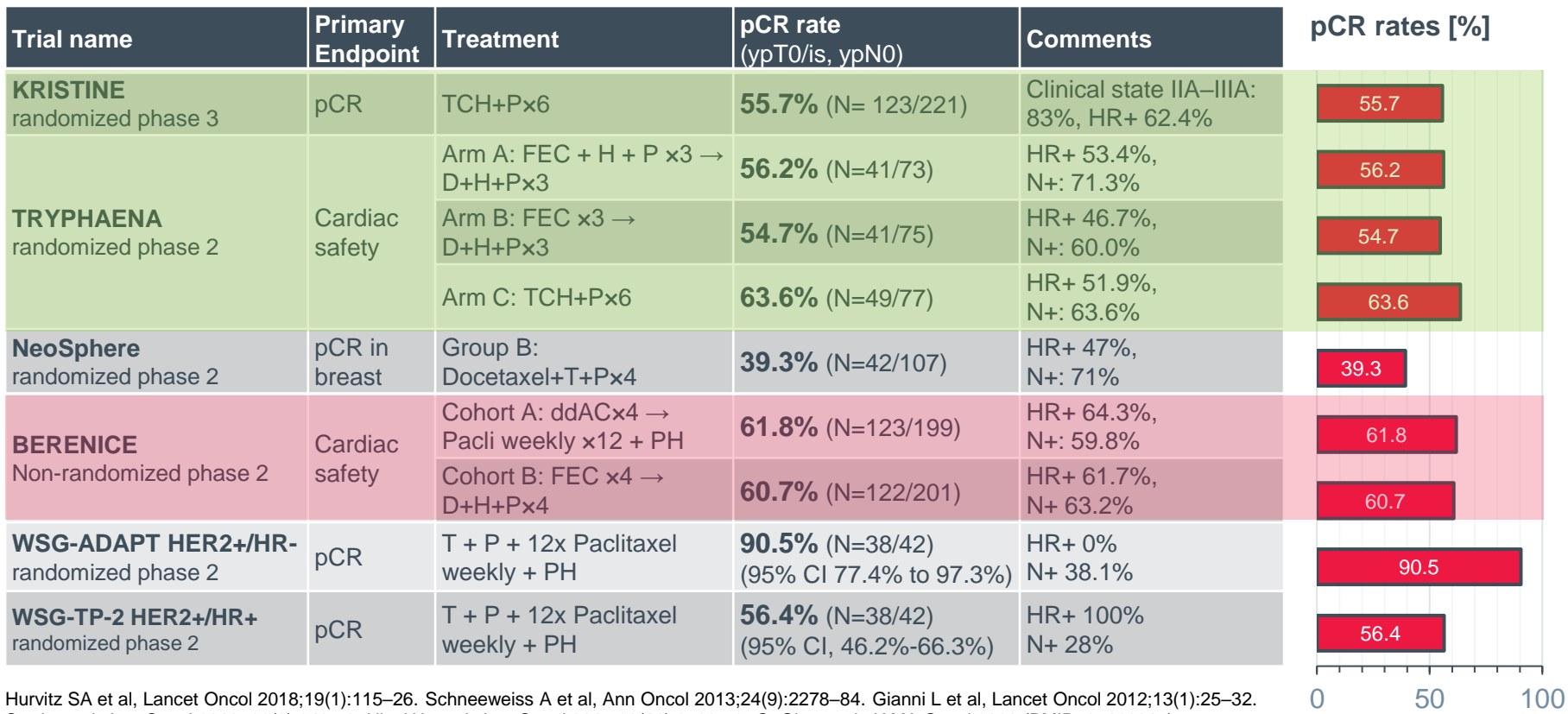
# Anthrazyklin plus 5FU oder 5FU-frei?

Trial name	Primary Endpoint	Treatment	pCR rate (ypT0/is, ypN0)	Comments	pCR rates [%]
<b>KRISTINE</b> randomized phase 3	pCR	TCH+P×6	<b>55.7%</b> (N= 123/221)	Clinical state IIA–IIIA: 83%, HR+ 62.4%	55.7
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Hurvitz SA et al, Lancet Oncol 2018;19(1):115–26. Schneeweiss A et al, Ann Oncol 2013;24(9):2278–84. Gianni L et al, Lancet Oncol 2012;13(1):25–32. Swain et al, Ann Oncol 2018; 29(3):646-53. Nitz UA et al, Ann Oncol 2017;28(11):2768-72; O. Gluz et al. JAMA Oncol 2023 (PMID: 37166817)

# Neoadjuvant 6 oder 8 Zyklen?



Hurvitz SA et al, Lancet Oncol 2018;19(1):115–26. Schneeweiss A et al, Ann Oncol 2013;24(9):2278–84. Gianni L et al, Lancet Oncol 2012;13(1):25–32. Swain et al, Ann Oncol 2018; 29(3):646-53. Nitz UA et al, Ann Oncol 2017;28(11):2768-72; O. Gluz et al. JAMA Oncol 2023 (PMID: 37166817)



# Deeskalierte neoadjuvante Therapien

# Deeskalierte Chemoimmuntherapien: Taxan + HP

Trial name	Primary Endpoint	Treatment	pCR rate (ypT0/is, ypN0)	Comments	pCR rates [%]
<b>KRISTINE</b> randomized phase 3	pCR	TCH+P×6	<b>55.7%</b> (N= 123/221)	Clinical state IIA–IIIA: 83%, HR+ 62.4%	55.7
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<b>WSG-TP-2 HER2+/HR+</b> randomized phase 2	pCR	T + P + 12x Paclitaxel weekly + PH	<b>56.4%</b> (N=38/42) (95% CI, 46.2%-66.3%)	HR+ 100% N+ 28%	56.4

Hurvitz SA et al, Lancet Oncol 2018;19(1):115–26. Schneeweiss A et al, Ann Oncol 2013;24(9):2278–84. Gianni L et al, Lancet Oncol 2012;13(1):25–32. Swain et al, Ann Oncol 2018; 29(3):646-53. Nitz UA et al, Ann Oncol 2017;28(11):2768-72; O. Gluz et al. JAMA Oncol 2023 (PMID: 37166817)

# Histopathologische prädiktive Biomarker für pCR

## WSG-TP-II Studie: pCR in Abhängigkeit der HER2 Expression

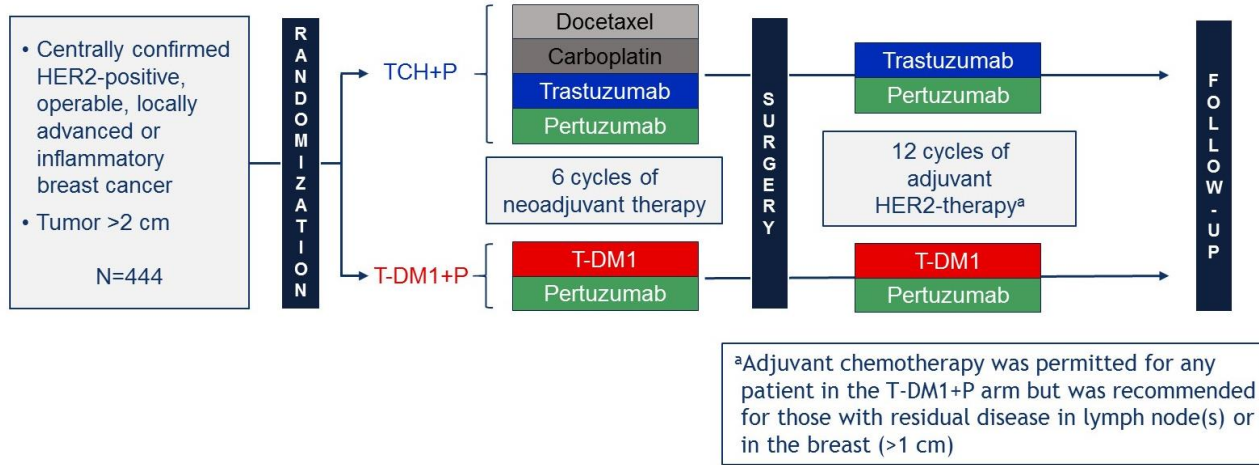
Table 2. Pathological Complete Response Rate by Local and Central *ERBB2* Status in Both Trial Arms

Pathological complete response	% (95% CI)	
	Endocrine therapy plus trastuzumab and pertuzumab (n = 100)	Paclitaxel plus trastuzumab and pertuzumab (n = 107)
Local <i>ERBB2</i> immunohistochemistry		
0-2	0.0 (0.0-33.6)	28.6 (8.4-58.1)
≥3	26.1 (17.3-36.6)	60.9 (49.9-71.2)
Central <i>ERBB2</i> immunohistochemistry		
0-2	0.0 (0.0-52.2)	12.5 (0.3-52.7)
≥3	25.0 (16.6-35.1)	59.8 (49.0-69.9)

O. Gluz et al. JAMA Oncol 2023 (PMID: 37166817)

# KRISTINE Studie - Neoadjuvante Therapie mit T-DM1

## Studiendesign – Phase III



## Primäres Ergebnis:

- Neoadjuvante Therapie mit TCH+P hatte höhere pCR Raten im Vergleich zu T-DM1 + P:
  - pCR 56% vs 44%,
  - P = 0.0155

**Stratification:** local HR status, geographic location, clinical stage at presentation

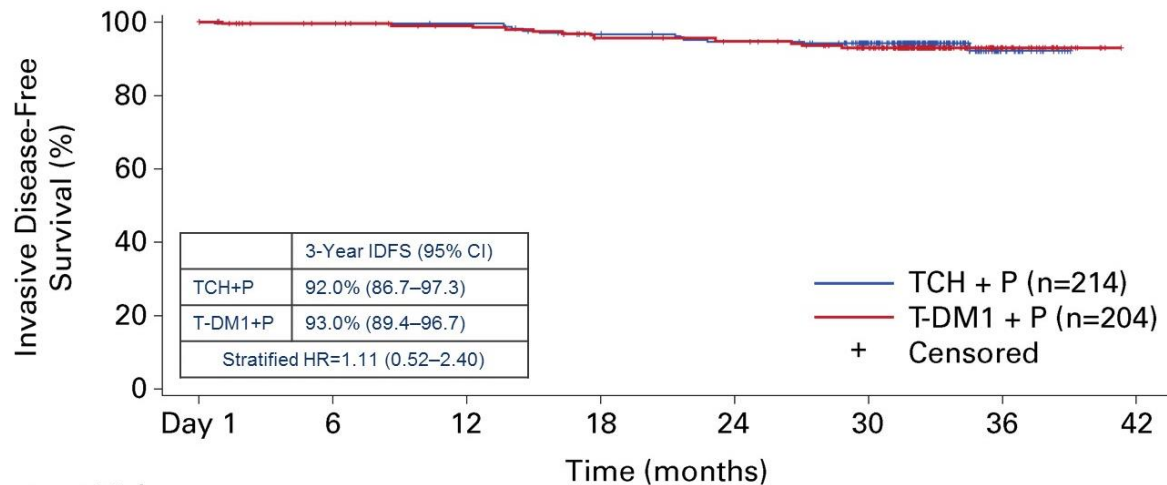
**Primary Endpoint:** pCR by local assessment (ypT0/is, ypN0)

**Secondary Endpoints:** EFS, iDFS, OS, safety, PRO

Adjuvante Chemotherapie (T-DM1 Arm):  
non-pCR: 33.1% vs pCR: 9.1%

# KRISTINE Studie - Neoadjuvant Therapie mit T-DM1

## Invasives Krankheits-freies Überleben (iDFS)



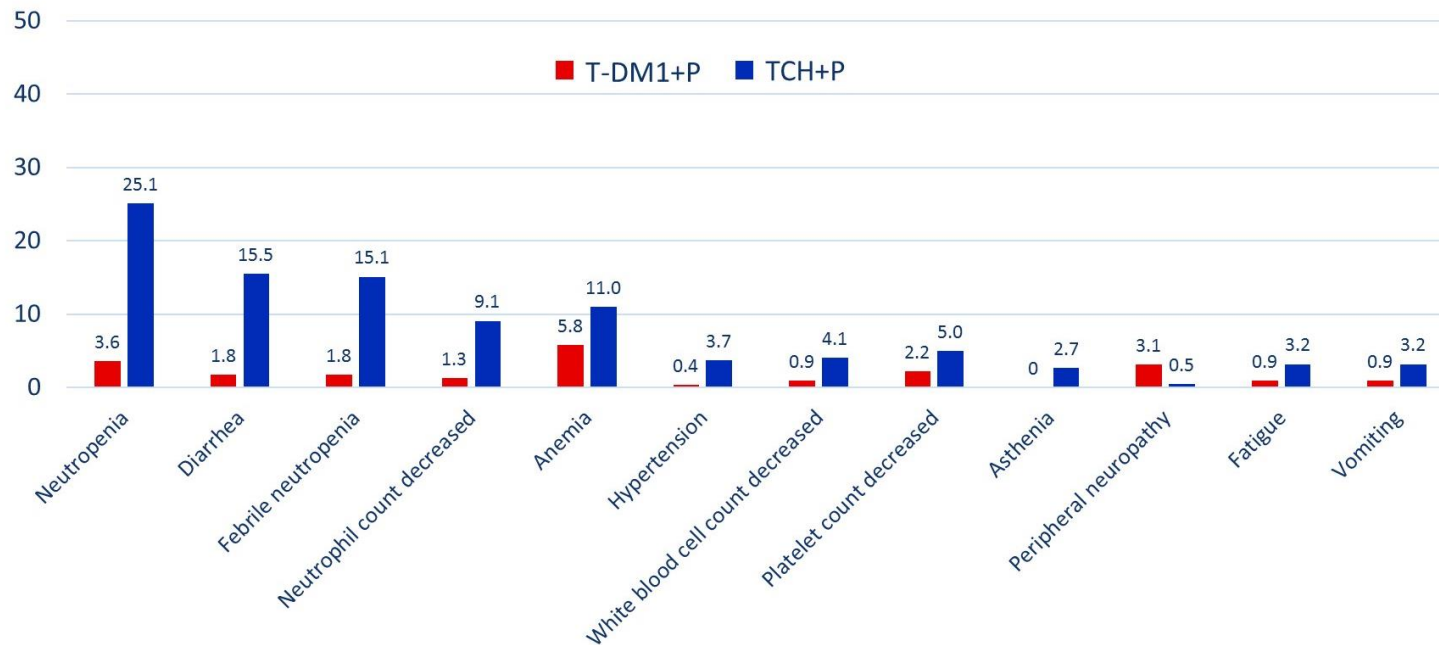
No. of Patients at Risk

	Day 1	6	12	18	24	30	36	42
TCH + P	214	212	209	198	191	161	17	
T-DM1 + P	204	193	187	177	174	156	24	

S Hurvitz et al. ASCO 2019 #500

# KRISTINE Studie - Neoadjuvant Therapie mit T-DM1

Therapienebenwirkungen:  $\geq$  Grad 3 AEs mit  $> 2\%$  Differenz zw. den Therapiegruppen

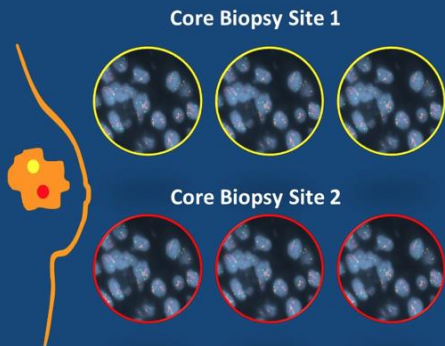


S Hurvitz et al. ASCO 2019 #500

# Histopathologische prädiktive Biomarker für pCR

## Phase II Studie zur Evaluierung der HER2 Heterogenität als Prädiktor für einen Response auf eine neoadjuvante Therapie mit T-DM1 und Pertuzumab

### HER2 Heterogeneity: Method of Evaluation

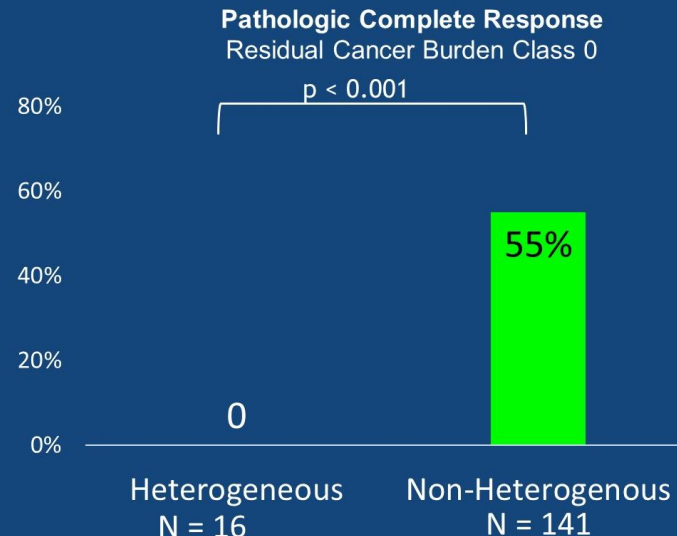


HER2 Heterogeneity defined as either

- 1) HER2 positivity by FISH in > 5% and < 50% of tumor cells (i.e., CAP guideline)
- 2) An area of tumor that tested HER2 negative.

Assessment performed by central laboratory (European Institute of Oncology, Milan) and blinded to treatment outcome

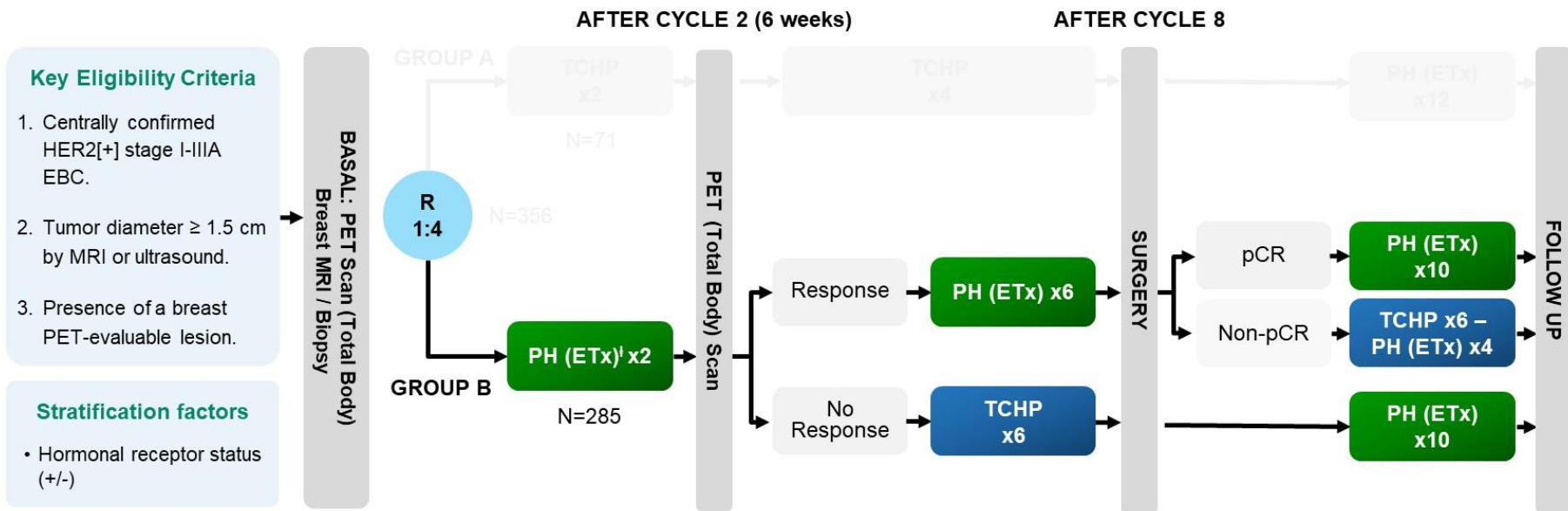
Vance GH et al. Arch Pathol Lab Med 2009  
Bartlett JM et al. J Clin Pathol 2011



0. Metzger Filho et al. ASCO 2019 #502

# Dynamische Biomarker für pCR

## PHERGAIN Studie – PET/CT Response gesteuerte Deeskalation (HER2+)

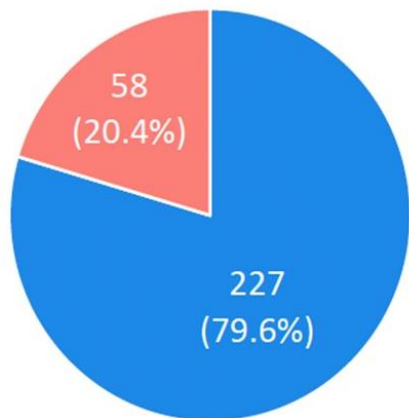


J. Cortes et al. ASCO 2023 #LBA506



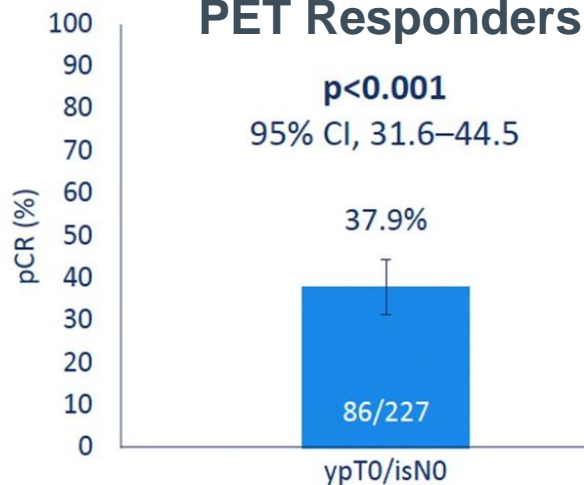
# PHERGAIN Studie – PET/CT Response gesteuerte Deeskalation (HER2+)

## PET Responders and Non-Responders



■ PET Responder ■ PET Non-Responder

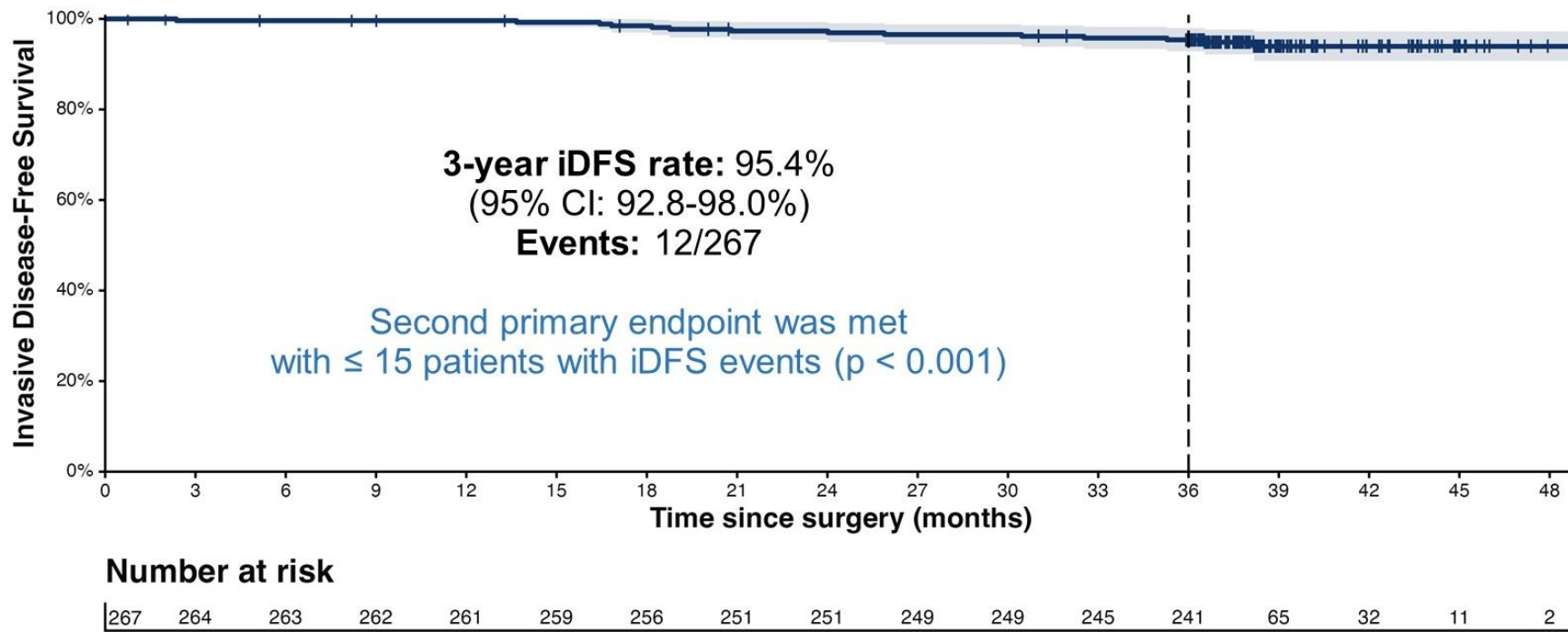
## pCR rate Group B in PET Responders



Null hypothesis: pCR  $\leq$  20%

J. Cortes et al. ASCO 2023 #LBA506

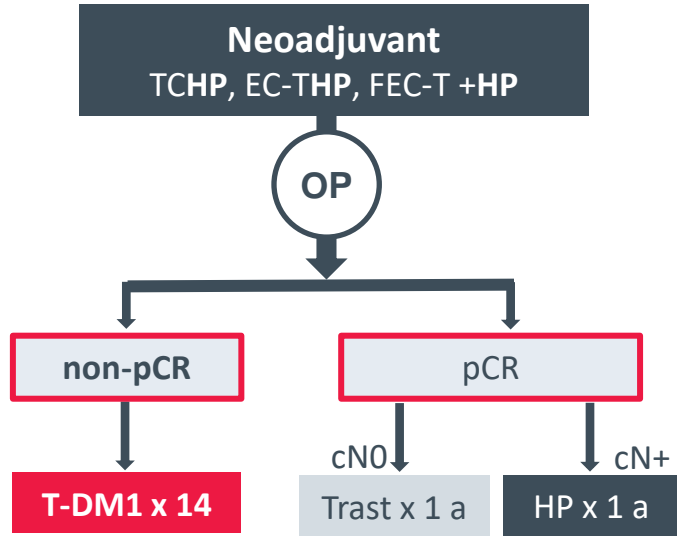
# PHERGAIN Studie – 3-Jahres iDFS in Gruppe B



J. Cortes et al. ASCO 2023 #LBA506

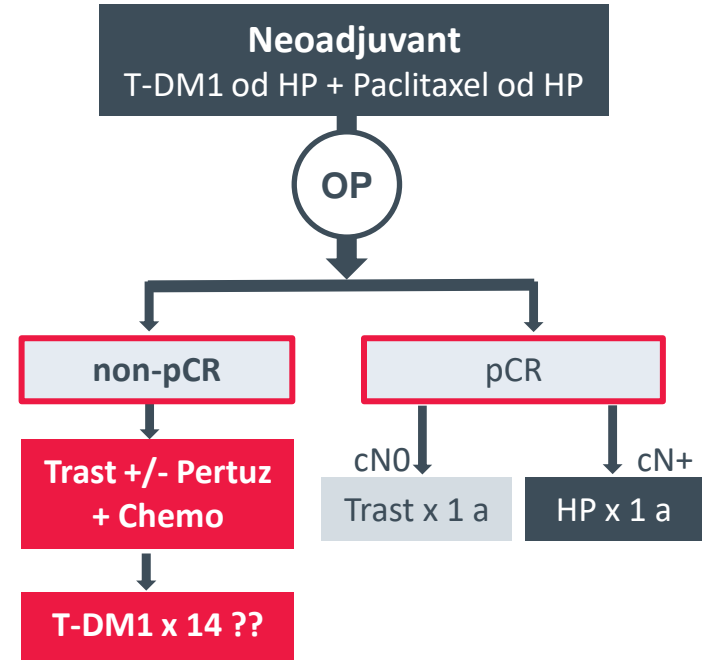
# Deeskalation neoadjuvant – Response-adaptiert adjuvant ?

## Standard



=  
?

## Deeskalation



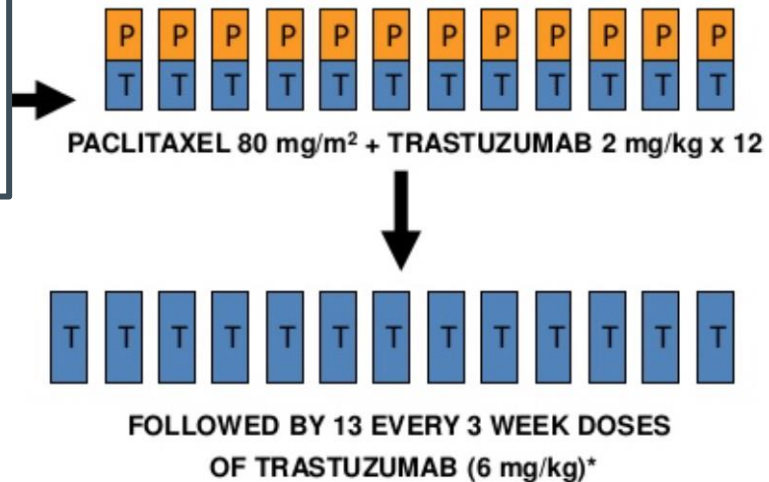
HP: Trastuzumab und Pertuzumab

# Deeskalierte adjuvante Therapie?

# Deeskalierte adjuvante Therapie – APT Studie

- HER2 + early BC
- ER/PR + or –
- Node negative
- $\leq 3m$

N=406



## Baseline Characteristics

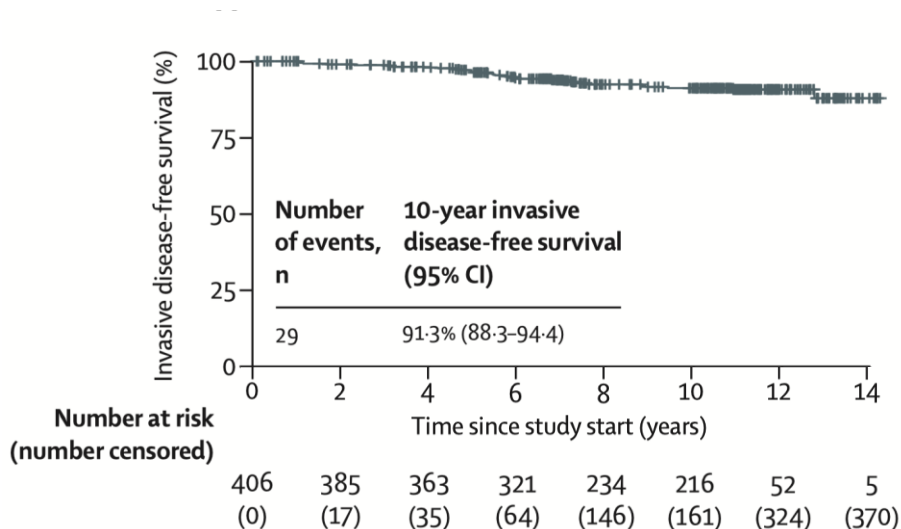
Tumor size	no. (%)
T1mic: $\leq 0.1$ cm	9 (2.2)
T1a: $>0.1$ to $\leq 0.5$ cm	68 (16.7)
T1b: $>0.5$ to $\leq 1.0$ cm	124 (30.5)
T1c: $>1.0$ to $\leq 2.0$ cm	169 (41.6)
<b>T2: <math>&gt;2.0</math> to <math>\leq 3.0</math></b>	<b>36 (8.9)</b>

S Tolany et al. SABCs 2013; S Tolany et al. NEJM 2015

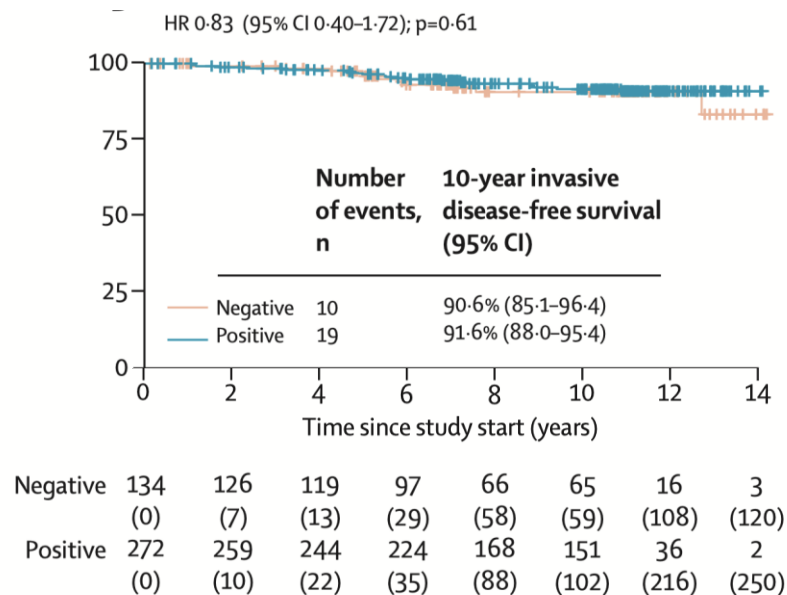
# Deeskalierte adjuvante Therapie – APT Studie

## 10 Jahres invasives Krankheits-freies Überleben

### iDFS Gesamtpopulation



### iDFS nach Hormonrezeptorstatus



S. M. Tolaney et al. Lancet Oncol 2023 (PMID: 36858723)

# Verkürzte adjuvant Therapie mit Trastuzumab < 1 Jahr?

Trial	N	Duration of experimental treatment	Predefined margin of non-inferiority	HR DFS (95% CI)	HR OS (95% CI)
Short-Her <sup>1</sup>	627	9 weeks	upper border HR < 1.29 (5y DFS)	<b>1.06</b> <b>(0.86-1.31)</b> - 10y	1.15 (0.85 – 1.56) - 10y
<b>SOLD <sup>5</sup></b>	2176	9 weeks	HR < 1.385	<b>1.24</b> <b>(0.93-1.65)</b>	1.36 (0.98 – 1.89)
<b>PERSEPHONE <sup>2</sup></b>	4088	6 months	< 3% (4y DFS)	<b>1.07</b> <b>(0.93-1.24)</b>	1.14 (0.95-1.37)
HORG <sup>3</sup>	241	6 months	HR < 1.53 (3y DFS)	1.57 (0.86 - 2.10)	1.45 (0.57 – 3.67)
PHARE <sup>4</sup>	3380	6 months	HR < 1.15 (DFS)	1.08 (0.93-1.25)	1.13 (0.92-1.39)

1 PF Conte et al. ASCO 2023 #LBA637; 2 HM Earl et al ASCO 2018 #506; HM Earl et al Lancet Oncology 2019; 3 Mavroudis D et al. Ann Oncol 2015;

4 Pivot X et al. SABCS 2018 # GS2-07; 5 H Joensuu et al. SABCS 2017 #GS3-04

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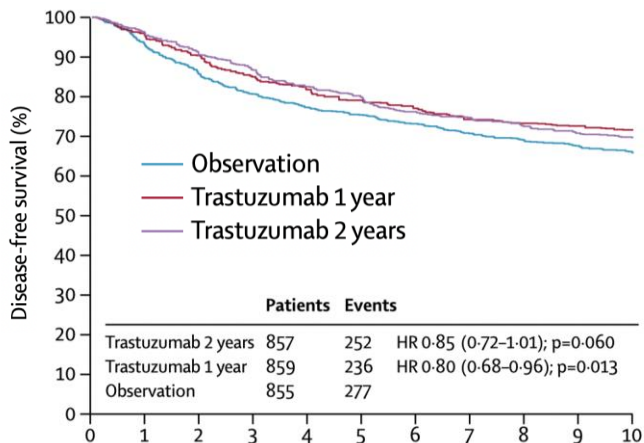


# Eskalierte Adjuvante Therapie

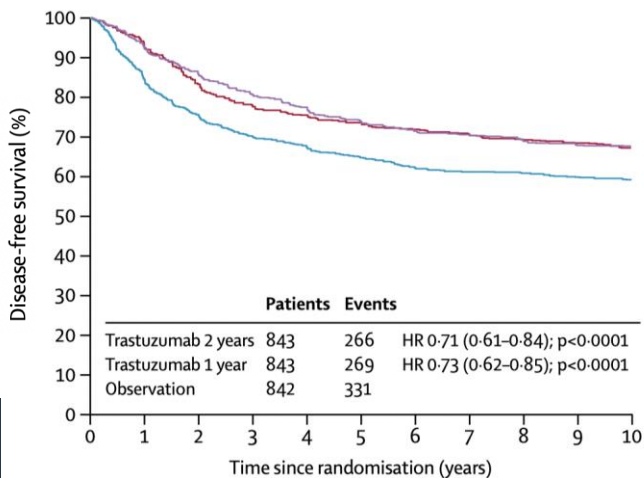


# Outcome of HER2+ EBC (HERA Trial)

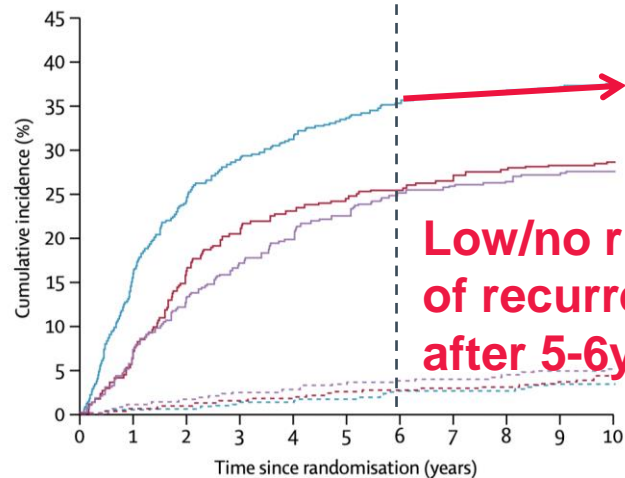
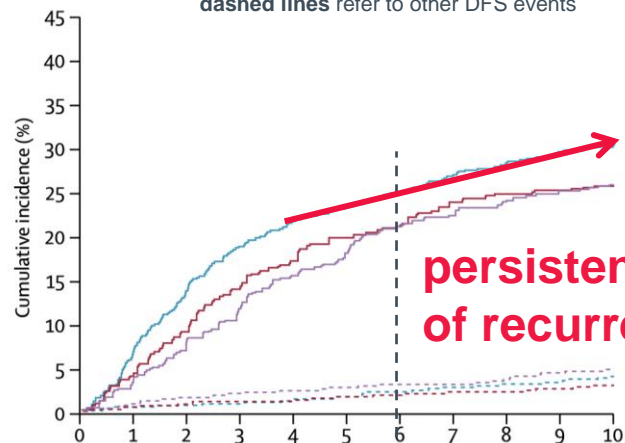
HR+ / HER2+ →



HR- / HER2+ →



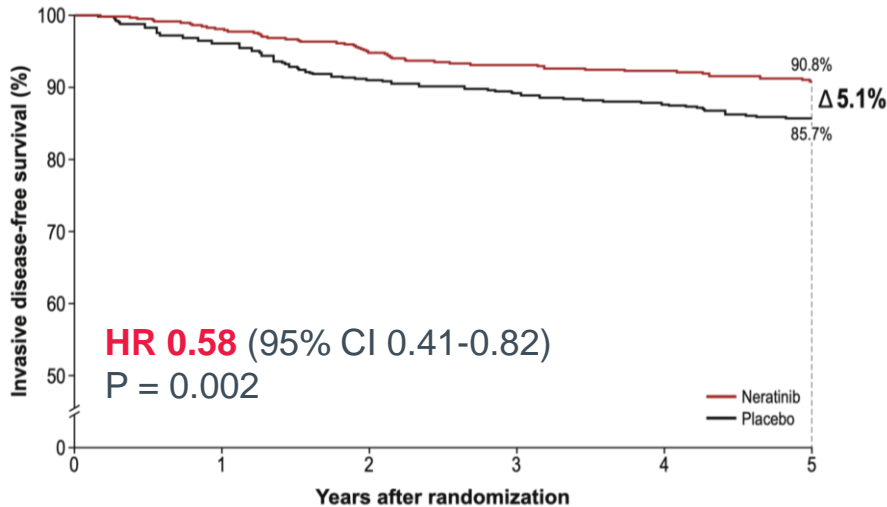
Solid lines refer to BC events  
dashed lines refer to other DFS events



D. Cameron et al.  
Lancet 2017 (PMID: 28215665)

# ExteNET – Neratinib nach Trastuzumab-basierter adjuvanter Therapie

## iDFS HR+/HER2+



**Grad 3/4 Diarrhö: 41%\* vs 2%**  
**Kann durch Loperamid auf 31% reduziert werden\***

A. Chan et al. Clin Breast Cancer 2021 (PMID: 33183970); \* C. H. Barcenas et al. Ann Oncol 2021

- HER2 + early BC
- Prior adjuvant trastuzumab & chemotherapy
- Stage II–IIIc or residual invasive disease after neoadjuvant therapy
- ER/PR + or -

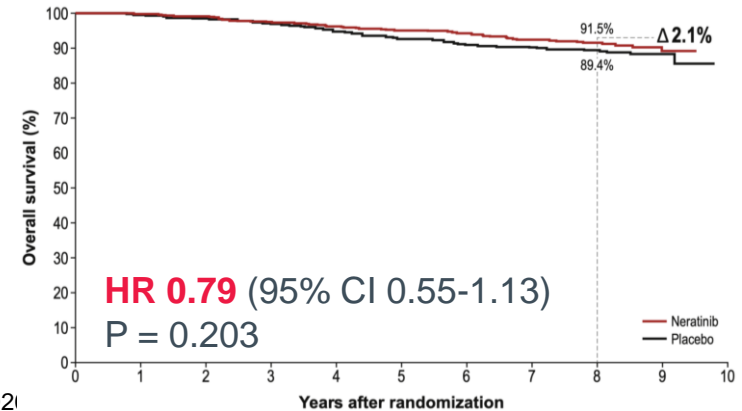


**Neratinib x 1 year  
 240mg/d**

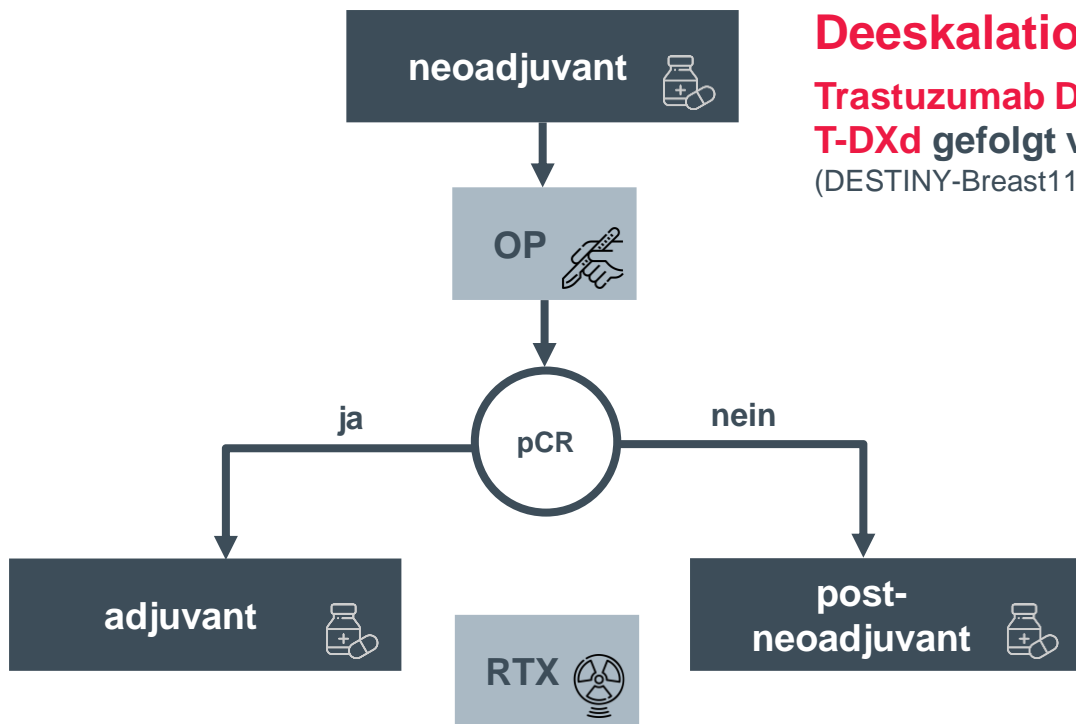
N=2840

**Placebo x 1 year**

## OS HR+/HER2+



# Laufende Phase 3 Studie bei frühen HER2+ Mammakarzinomen (mit Indikation zur neoadjuvanten Behandlung)



## Deeskalation:

**Trastuzumab Deruxtecan (T-DXd) mono vs. T-DXd gefolgt von THP vs. ddAC-THP**  
(DESTINY-Breast11, Clinicaltrials.gov NCT05113251)

## Eskalation:

**Trastuzumab-Deruxtecan vs T-DM1**  
(DESTINY-Breast05, Clinicaltrials.gov NCT04622319)

**T-DM1 + Tucatinib vs T-DM1**  
(CompassHER2 RD, Clinicaltrials.gov NCT04457596)

# Im Spannungsfeld von Eskalation und Deeskalation

## Zusammenfassung

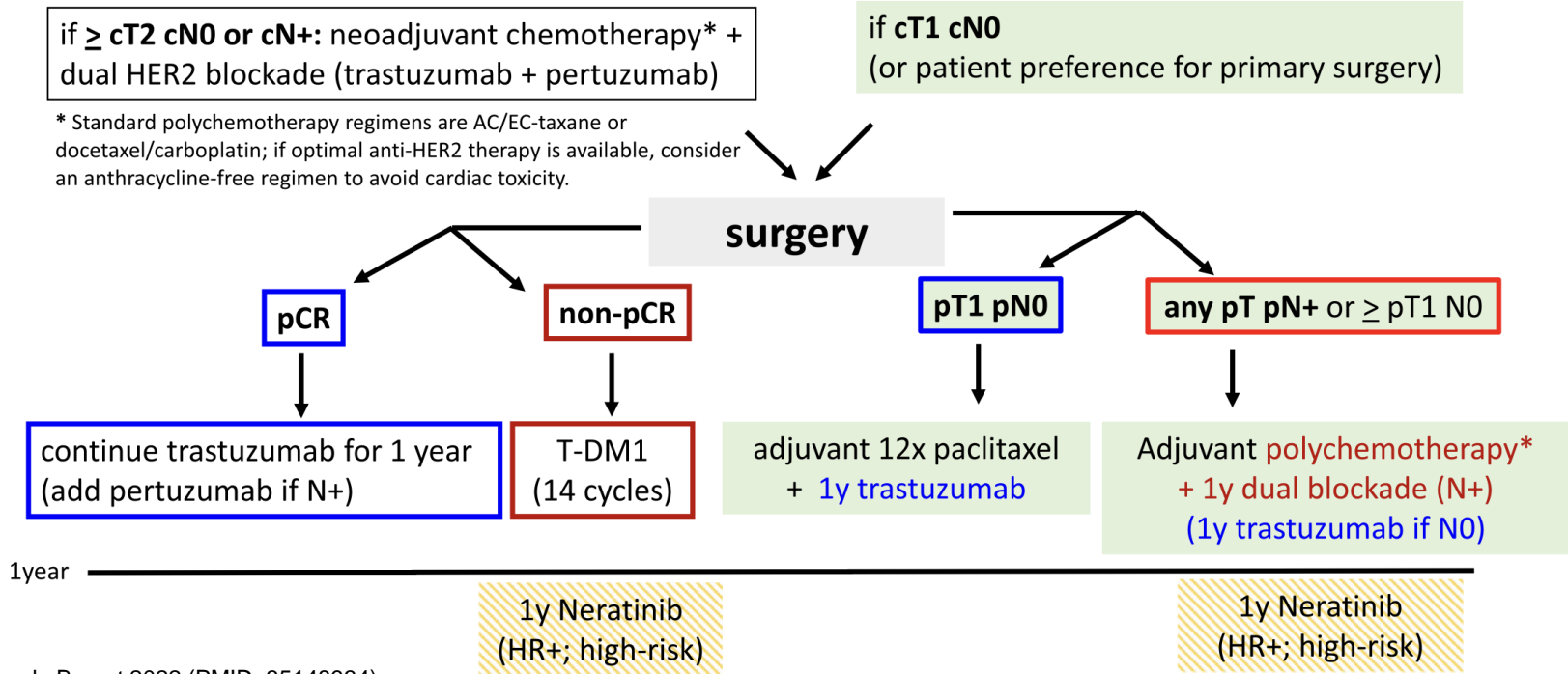
### ■ Deeskalation

- **Vierversprechende Konzepte** (T-DM1, Trastuzumab + Pertuzumab und Paclitaxel, Trastuzumab + Pertuzumab) in klinischen Studien getestet.
- **Patientinnenselektionen** für solche Strategien **noch nicht ausreichend definiert**
- **Außerhalb klinischer Studien** derzeit **nur für stark selektionierte Patientinnen** (zB elderly und slow-go)

### ■ Eskalation

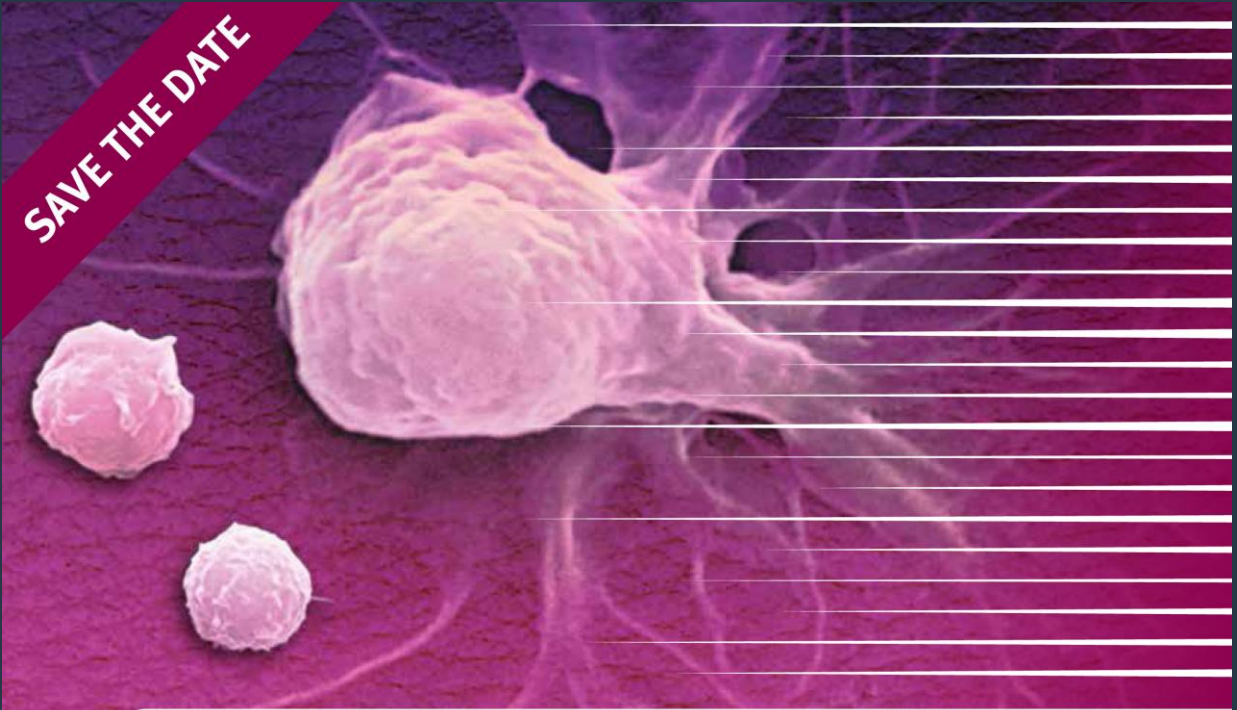
- **Postneoadjuvante Therapie mit T-DM1** bei non-pCR **Standard**
- **Neratinib** bei **Hochrisikopatientinnen** mit HR+/HER2+ einen Optionen
- **Laufende Studien** mit Integration der *big player* (**Trastuzumab-Deruxtecan** und **Tucatinib**)

# HER2+ Mammakarzinome - (neo-)adjuvante Therapiestrategie 2023




N. Harbeck. Breast 2022 (PMID: 35148934)

Danke für Ihre  
Aufmerksamkeit



SAVE THE DATE

 Salzburg  
Cancer  
Research  
Institute

**5<sup>th</sup> SALZBURG BREAST CANCER TALK 08. – 09.03.2024**  
MASTERING TRANSLATIONAL IMMUNO-ONCOLOGY